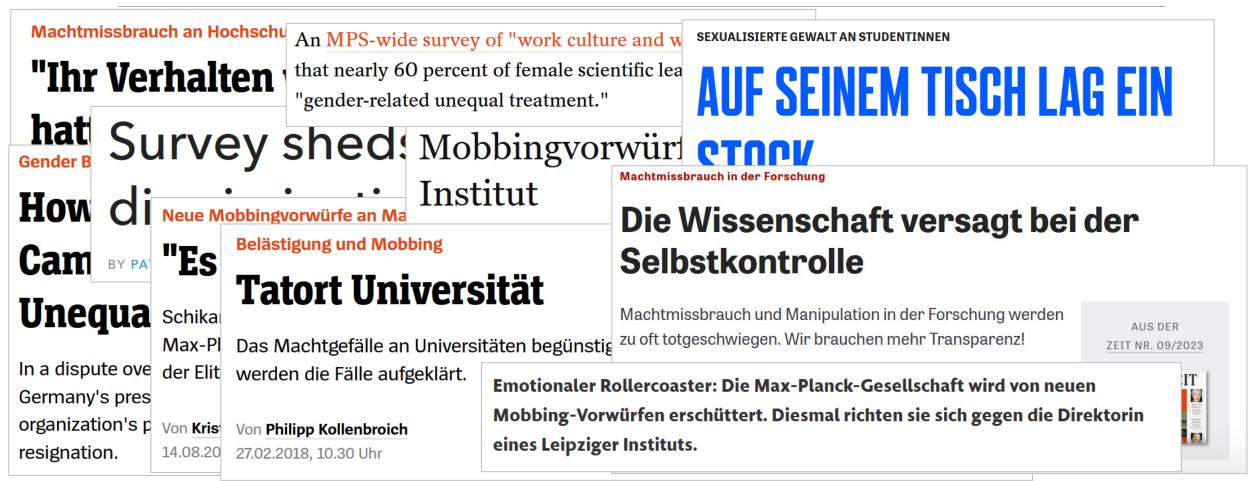
Case study on scientific governance: What (not) to do

Disclaimer

I present what was reported to me by the members of our group.

The purpose of sharing our stories is to contribute to a well working governance in science organizations.

The current governance is not meant to protect students or employees



What happens when students/researchers seek help within the current governance?

How the current governance fails to protect students & employees and approaches to improve the situation

Current state of affairs

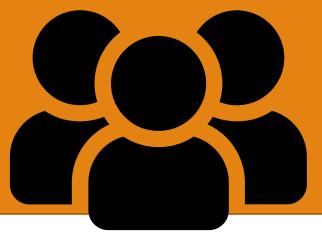






UNIVERSITY

This slide originally contained examples of misconduct. We removed those example in this slide deck (for distribution) in order to protect all involved individuals



Let's try to get help within the system

2 group members (master students)

Is there an Equal Opportunity Officer or Ombudsperson to contact?

Yes. We know from 30 officers responsible in our case:

Research Institute: 4 conflict counsellors, 1 ombud person, 3 equal opportunity officers, workers council
Research Society: solicitor law firm, central gender equality officer, ombud person
University: central equal opportunity officer (+ 3 deputies), faculty equal opportunity officer + deputy, diversity
vice president + 2 diversity management coordinators, anti-discrimination officer + deputy, 2 ombud persons +
deputy

Excellence Cluster: 1 diversity coordinator, 3 equal opportunity managers

We talked to 7 of those persons (from each group at least one)

1. EqualOpportunityOfficers &Ombudspersons



Research Institute's Equal Opportunity Officer	
Excellence Cluster Diversity Coordinator	
University Diversity Vice President	Understanding and "holding hands"
University Anti Discrimination Officer	

Research Institute's Equal Opportunity Officer	
Excellence Cluster Diversity Coordinator	
University Diversity Vice President	
University Anti Discrimination	

Officer

- Contacting Supervisor
- Mediation
- Anti-sexism training for supervisor
- 2h voluntary anti-sexism training for lab

Research Institute's Equal Opportunity Officer	
Excellence Cluster Diversity Coordinator	
University Diversity Vice President	
University Anti Discrimination	

Officer

"You should talk to your group/professor again, they are smart people, I am sure they can understand"

Research Institute's Equal Opportunity Officer
Excellence Cluster Diversity Coordinator
University Diversity Vice President
University Anti Discrimination Officer

- "Start conversations with positive tone using we & I want X, instead of you are/aren't doing Y, Z"
- "You should tell them what you need, not what they should do"

Research Institute's Equal
Opportunity Officer

Excellence Cluster Diversity
Coordinator

University Diversity Vice
President

University Anti Discrimination

Officer

"The group is prone to excellence, you should appreciate the intellectual accomplishments. So you can't expect to be done with work at 2pm & take a walk at the park, that's not how science works"

Research Institute's Equal **Opportunity Officer Excellence Cluster Diversity** Coordinator **University Diversity Vice President University Anti Discrimination** Officer

"If you still have a problem talk to the Dean of the faculty"

Research Institute's Equal Opportunity Officer	
Excellence Cluster Diversity Coordinator	
University Diversity Vice President	
University Anti Discrimination Officer	

University Anti Discrimination Officer

You should talk

to the

ombudsperson

Mr. D. Doe

I am not the right person. Talk to the Vice Dean Mr. E. Doe

I am the Vice Dean of the Faculty, we can talk

Mr. D. Doe

Mr. E. Doe

2. Dean & Vice Dean



Contacting the Dean*

Student 1 Dean Student 2











Answer Dean: Can you give me more context?

Student 1: Gives context

Result: He did not reply, but informed the professor that the student complained without consent of the student

Answer to student 2:

"[...] Remember also that being able to perform a master thesis at this [Research Institute] is just a courtesy of [Research Institute] towards you [...]"

^{*)} Dean of the faculty of the affiliated university, also director at a neighboring [research institute]

Contacting the vice dean of the faculty

Understanding the problem
Mistrusting the dean regarding the confidentiality (he was right)

Recommends to accept the misconduct and do nothing to "not escalate the situation further" to not hurt career of the student

3. The solicitors of trust appointed by the Research Society (external law firm)



We contacted the solicitors

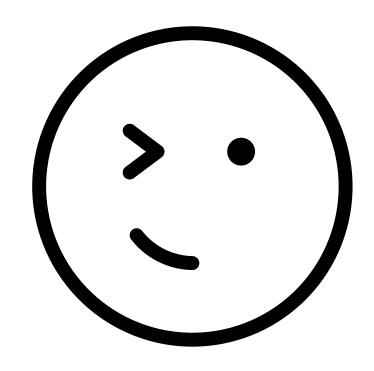
1 Understanding and supportive

Conflict is solved from [Research Society]'s point of view as master student aborted her Master Thesis and is no longer at [Research Society]

Offered to contact the "The Internal Investigations Staff Unit at Administrative Headquarters" (Stabsstelle) but let shine through a recommendation not to as this might hurt career

What would help?

We need more contact persons to report to!



Let us start with what is NOT going to help

1 More Equal Opportunity Officers

2 More contact persons (Anlaufstellen) in general

3 Another external contact point (such as the law firm)

Still all of those are important!

Again: What would help?

Some actions based on discussions and literature



- Strict separation of direct supervision, HR management and grading/mentoring
- More (permanent) research positions to decrease career pressure
- Provide students in fundamental research with alternative career options (e.g. mandatory internship in industry or other associations)



- Need external regulation or governance that protects students & holds faculty accountable
- Install transparent and fair structures
- Take example on other reporting systems, e.g. German Military



- Train/Inform faculty of their role as advisors, leaders, human beings etc
- Educate students & employees about their rights and contact persons/solicitors
- Increase awareness against power abuse

Thank you very much for your attention & engagement

Let's make the German Science System excellent in EVERY aspect!



Contact us via ScienceGovernanceGroup@posteo.de